

## COMMUNITY FOREST MANAGEMENT

Fall 2022

Friday, Periods 3-5 (9:35 – 12:35)

**Course numbers:** FOR 6628/LAS 6290

**Format:** face-to-face

**Course credits:** 3

**Instructor:** Dr. Karen A. Kainer

[kkainer@ufl.edu](mailto:kkainer@ufl.edu)

846-0833

210 Newins-Ziegler

Office Hours: Best by appointment, but also...Wednesday: 10:00-12:00

### Course readings:

Mulder, M.B. and P. Coppolillo. 2005. Conservation: Linking ecology, economics, and culture. Princeton University Press, Princeton, New Jersey.

*Plus...Select articles and book chapters:* See readings list.

### Course description:

Natural resource management by communities and local smallholders has gained currency as a potentially viable strategy for conserving forest ecosystems, while supporting local livelihoods and cultural values. This 3-credit graduate course considers how governments, researchers and practitioners (especially graduate students) collaborate with communities in these efforts. It analyzes the conceptual underpinnings, efficacy, and practice of this growing global trend of community-based natural resource management (aka co-management). The course is designed **for students from diverse disciplines** (forestry, anthropology, regional studies, fisheries, wildlife, biology, interdisciplinary studies, sociology, geography, plus....) **and different levels of expertise** to think critically, jointly, about the multi-scale, contextual factors that influence conservation and livelihood outcomes. It is especially designed for graduate students who seek **concepts, tools, and strategies to integrate local participation** and co-knowledge production into their work. A variety of teaching methods will be employed with an **emphasis on experiential and cross-student learning**. Finally, this course fulfills the conceptual core requirement of UF's TCD (Tropical Conservation and Development) certificate.

### Learning objectives:

Upon completion of this course, students will have:

- Integrated new multidisciplinary knowledge with their personal and professional experiences to think critically about community-based forest management.
- Synthesized key ecological concepts for sound management of community resources.
- Articulated the relevance and complexity of the socio-political context on community-based resource management.
- Reviewed and discussed practical ways in which community-based management has been implemented.
- Reflected on their philosophies about biodiversity conservation, development, and cultural change.
- Written a research proposal or manuscript that integrates student interests with course learning.
- Conducted critical peer reviews of colleagues' works.
- Developed a preliminary knowledge exchange strategy.

**Grading:**

Reflection paper	10%	94 – 100% = A
Readings comments	20%	90 – 93% = A-
Research paper		87 – 89% = B+
Preliminary statement and bibliography	10%	80 – 86% = B
Final submission	25%	77 – 79% = C+
Peer review I (prelim statements & biblio)	10%	70 – 76% = C
Peer review II (research paper draft)	10%	60 – 69% = D
Knowledge exchange strategy	5%	< 60% = E
Class participation*	<u>10%</u>	
<b>Total</b>	<b>100%</b>	

\*Attendance is a prerequisite to in-class participation. **Every student is expected to attend every class.** Students bring a wealth of experience into the classroom, and each class period is a unique chance to learn from those experiences (cross-student learning). A second reason I insist on class attendance is because of the 3-hour class meetings. Missing one day = 8.3% of the course; two = 16.6%; and 3 = 1/4 of the course! In other words, quickly, one can miss a large portion of what could be learned.

In the past, I have always asked that students let me know immediately if they *have* to miss a class, and this courtesy has been extended almost without fail. Typically, one or two students from the entire course miss a session during the course of a semester (conference, sibling wedding, etc...). Indeed, more than one absence is not acceptable (except under extreme circumstances) and will be reflected in your participation grade. Unplanned absences (emergencies) just come up and are dealt with differently.

**ASSIGNMENT: Reflection Paper on conservation and human well-being**

The reflection paper will be read by me only. Please prepare a 2- to 3-page (single-spaced) paper that reflects your thoughts on the questions below. This assignment is not an academic paper. It is not a synopsis of the conservation-development debate, but rather, a personal reflection. It is **due Wednesday, August 31** to give me time to read the essays prior to Friday's class. Please upload a copy on Canvas and worth 5% of your grade.

- (1) Do you think biodiversity conservation and human well-being can both be attained? Should one be prioritized over another? Do you see this as a dichotomy with significant tradeoffs? Or as issues that can be reconciled?
- (2) Do you personally prioritize one over the other in your work (e.g., chosen profession or jobs held) or personal life (e.g., how you choose to use your purchasing power, donate your time or money)? Please provide examples.
- (3) What has led you to feel the way you do? To come to the conclusions you have reached? Have you had experiences or an upbringing, for example, that have steered you more toward one direction or the other?

## Community Forest Management

Date	Topic	Assignments due*
<b>SETTING THE THEORETICAL STAGE</b>		
Aug 26	Getting to know each other and the course	
<i>Aug 31 - This is Wednesday!</i>		<i>reflection paper</i>
Sep 2	Conservation, development, and the role of CFM	
Sep 9	Some key concepts: Forest ecosystems and resource harvesting	
Sep 16	Unpacking community/TEK & other assets	<i>title &amp; brief description (no grade)</i>
Sep 23	Forest rights and governance	
Sep 30	The Mexico case	<i>prelim statement &amp; biblio</i>
Oct 7	NO CLASS (Homecoming)	<i>peer review I</i>
Oct 14	Political ecology	
<b>A FEW MANAGEMENT CASE STUDIES</b>		
Oct 21	Timber management	
Oct 28	Wildlife & communities	
<b>SUPPORTING COLLABORATIVE MANAGEMENT</b>		
Nov 4	Partnerships & knowledge exchange	
Nov 11	NO CLASS (Veteran's Day)	<i>draft research paper (no grade)</i>
Nov 18	Research on the ground	<i>peer review II</i>
Nov 25	NO CLASS (Thanksgiving)	
Dec 2	Course wrap up and evaluation	<i>knowledge exchange document</i>
<i>Dec 5</i>	<i>This is the Monday after our last class...</i>	<i>final research paper</i>

**\*Electronically posted comments are due every session at on Wednesday night before each class.**

## Reading Assignments

We will be using UF's Canvas system (or e-Learning) to facilitate course communication and to access readings that are not from the textbook or not free online to the general public. To login, open your Internet browser and navigate to <https://lss.at.ufl.edu>.

To get general help with e-Learning, you may access FAQs ([https://lss.at.ufl.edu/help/Student\\_Faq](https://lss.at.ufl.edu/help/Student_Faq)) or call the Help Desk at 352-392-4357 anytime during [Help Desk](#) hours. Or email [helpdesk@ufl.edu](mailto:helpdesk@ufl.edu). If you use email, write from your [gatorlink@ufl.edu](mailto:gatorlink@ufl.edu) email address, or include your UFID and/or gatorlink username (NOT your password!) in the body of the email. Provide complete information regarding the course and content to which you are referring. Someone will get back with you as soon as possible.

We are fortunate to have additional technical support through SFRC (School of Forest Resources and Conservation). If you have technical needs specifically related to this course (i.e., link not functioning), please go the Discussion tab on the left hand panel in Canvas and under "Pinned Discussions", click on Technical Support.

Canvas is set up to access the readings required (and recommended) by date and topic. All articles listed below are required reading for the course, unless "**Recommended**" precedes the citation. To access the readings required (and recommended), go to the Discussion tab on the left panel of the main course site, readings for each class will be found by date and topic. For example, all required readings for August 28 will be tagged "Aug 28: Conservation, development...CFM".

### SETTING THE CONCEPTUAL STAGE

#### **Aug 26      Getting to know each other and the course**

No readings

#### **Sep 2      Conservation, development, and the role of CFM**

Mulder, M.B. and P. Coppolillo. 2005. Chapter 4: Indigenous peoples as conservationists. Pages 81-103, In: Conservation: Linking ecology, economics, and culture. Princeton University Press, Princeton, New Jersey.

Hajjar, R., Oldekop, J. A., Cronkleton, P., Newton, P., Russell, A. J. M., & Zhou, W. 2021. Outcomes of Community Forests. *Nature Sustainability*, 4, 216–224. <http://dx.doi.org/10.1038/s41893-020-00633-y>

#### **Recommended**

Romero, C., S. Athayade, J.E. Collomb, M. DiGiano, M. Schmink, S. Schramski and L. Seales. 2012. Conservation and development in Latin America and Southern Africa: setting the stage. *Ecology and Society* 17(2): 17.

<http://www.ecologyandsociety.org/vol17/iss2/art17/>

Porter-Bolland, L., E. A. Ellis, M.R. Guariguata, I. Ruiz-Mallén, S. Negrete-Yankelevich, & V. Reyes-García. 2012. Community managed forests and forest protected areas: An assessment of their conservation effectiveness across the tropics. *Forest Ecology and Management* 268:6-17.

Gavin, M.C., J. McCarter, F. Berkes, A. Mead, J.R. Stepp, D. Peterson and R. Tang. 2015. Defining biocultural approaches to conservation. *Trends in Ecology and Evolution* 30(3): 140-145.

Baynes, J. J. Herbohn, C. Smith, R. Fisher and D. Bray. 2015. Key factors which influence the success of community forestry in developing countries. *Global Environmental Change* 35:226-238.

Gilmour, D. 2016. Forty years of community-based forestry: a review of its extent and effectiveness. FAO (Food and Agriculture Organization of the United Nations) Forestry Paper 176. FAO, Rome.

Roe, D. 2008. The origins and evolution of the conservation-poverty debate: a review of key literature, events and processes. *Oryx* 42(4):491-503.

Charnley, S. and M.R. Poe. 2007. Community forestry in theory and practice: Where are we now? *Annual Review of Anthropology* 36:301-336.

### **Sep 9            A few key concepts: forest ecosystems and resource harvesting**

Mulder, M.B. and P. Coppolillo. 2005. Chapter 3: The natural science behind it all. Pages 53-80, In: *Conservation: Linking ecology, economics, and culture*. Princeton University Press, Princeton, New Jersey.

Ticktin, T. 2004. The ecological implications of harvesting non-timber forest products. *Journal of Applied Ecology* 41:11-21.

### **Recommended**

BBC. Planet Earth: Seasonal Forests (59 min) <https://www.bbc.co.uk/programmes/b0074t4x> (also check out the “Best laid ballooning plans” clip)

BBC. Planet Earth: Jungles (59 min) <https://www.bbc.co.uk/programmes/b0074tgb>

Ghazoul, J. and D. Shiel. 2011. Chapter 11: The ever-changing forest: disturbance and dynamics. Pages 229-246, In: *Tropical rain forest ecology, diversity, and conservation*. Oxford University Press, New York.

Montagnini F. and C.F. Jordan. 2005. Chapter 2: Characteristics of tropical forests. Pages 19-73, In, *Tropical Forest Ecology: The basis for conservation and management*. Springer, Berlin.

### **Sep 16            Unpacking community/TEK & other assets**

Wali, A., D. Alvira, P.S. Tallman, A. Ravikumar and M.O. Macedo. 2017. A new approach to conservation: using community empowerment for sustainable well-being. *Ecology and Society* 22(4):6. <https://doi.org/10.5751/ES-09598-220406>

Molnár, Zs. And D. Babai. 2021. Inviting ecologist to delve deeper into traditional ecological knowledge. *Trends in Ecology and Evolution* 36 (8):679-690. <https://doi.org/10.1016/j.tree.2021.04.006>

Agrawal, A. and C.C. Gibson. 1999. Enchantment and disenchantment: The role of community in natural resource conservation. *World Development* 27(4):629-649.

### **Recommended**

\*\*Gómez-Baggethun, E., E. Corbera, and V. Reyes-García. 2013. Traditional ecological knowledge and global environmental change: research findings and policy implications. *Ecology and Society* 18(4): 72. <http://dx.doi.org/10.5751/ES-06288-180472>

\*\*An interactive version (in which you can access most cited papers of this article that introduces TEK and its various aspects) is at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4471132/>

### **and for more on gender and intersectionality**

Colfer, C. J. P., B. Sijapati Basnett, and M. Ihalainen. 2018. Making Sense of ‘intersectionality’: A Manual for Lovers of People and Forests: Center for International Forestry Research (CIFOR), Bogor, Indonesia.

Agarwal, B. 2000. Conceptualizing environmental collective action: why gender matters. *Cambridge Journal of Economics* 24:283-310.

Agarwal, B. 2009. Gender and forest conservation: The impact of women’s participation in community forest governance. *Ecological Economics* 68:2785-2799.

Westermann, O., J. Ashby, and J. Pretty. 2005. Gender and social capital: The importance of gender differences for the maturity and effectiveness of natural resource management groups. *World Development* 33 (11):1783-1799.

Giri, K. and I. Darnhofer. 2010. Nepali women using community forestry as a platform for social change. *Society & Natural Resources* 23:12, 1216-1229. DOI: [10.1080/08941921003620533](https://doi.org/10.1080/08941921003620533)

RRI (Rights and Resources Initiative). 2017. Power and potential: A comparative analysis of national laws and regulations concerning women’s rights to community forests. Rights and Resources Initiative, Washington DC.

### **Sep 23 Forest rights and forest governance**

Larson, A.M. et al. 2021. Hot topics in governance for forests and trees: Towards a (just) transformative research agenda. *Forest Economics and Policy* 131:012567. <https://doi.org/10.1016/j.forpol.2021.102567>

Dietz, T., Ostrom, E., and P.C. Stern. 2003. The struggle to govern the commons. *Science* 302(12): 1907-1912.

Persha, L. A. Agrawal, and A., Chhatre. 2011. Social and ecological synergy: Local rulemaking, forest livelihoods, and biodiversity conservation. *Science* 331:1606-1608.

### **Recommended:**

RRI (Rights and Resources Initiative). 2014. Chapters 1-4 and Annex 3 (Pages 9-35 and 60-65), In: What future for reform? Progress and slowdown in forest tenure reform since 2002. Rights and Resources Initiative, Washington DC.

Larson, A.M., D. Barry and G.R. Dahal. 2010. New rights for forest-based communities? Understanding processes of forest tenure reform. *International Forestry Review* 12(1):78-96.

Cronkleton, P., J.M. Pulhin and S. Saigal. 2012. Co-management in community forestry: How partial devolution of management rights creates challenges for forest communities. *Conservation and Society* 10(2):91-102.

Diver, S. 2016. Co-management as a Catalyst: Pathways to Post-colonial Forestry in the Klamath Basin, California. *Human Ecology*, 44(5), 533–546. <https://doi.org/10.1007/s10745-016-9851-8>.

Partelow, S., Glaser, M., Solano Arce, S., Sá Leitão Barboza, R., & Schlüter, A. 2018. Mangroves, fishers, and the struggle for adaptive comanagement: Applying the social-ecological systems framework to a marine extractive reserve (Resex) in Brazil. *Ecology and Society*, 23(3). <https://doi.org/10.5751/ES-10269-230319>

RRI (Rights and Resources Initiative). 2012. What Rights? A Comparative Analysis of Developing Countries' National Legislation on Community and Indigenous Peoples' Forest Tenure Rights. Rights and Resources Initiative, Washington DC. The following website has links to the English, Spanish and French version of this document.

[http://www.rightsandresources.org/publication\\_details.php?publicationID=4924](http://www.rightsandresources.org/publication_details.php?publicationID=4924)

Oxfam, International Land Coalition, Rights and Resources Initiative. 2016. Common ground. Securing land rights and safeguarding the earth. Oxfam, Oxford, UK.

Emerson, K., T. Nabatchi, and S. Balogh. 2011. An integrative framework for collaborative governance. *Journal of Public Administration Research and Theory* 22:1-29.

Schmink, M. 2004. Communities, forests, markets, and conservation. Pages 119-129, In: Zarin, D.J., J.R.R. Alavalapati, F.E. Putz, and M. Schmink (eds), *Working Forests in the Tropics: Conservation through Sustainable Use*. Columbia University Press, New York.

Wells, M. 1992. Biodiversity conservation, affluence and poverty: Mismatched costs and benefits and efforts to remedy them. *Ambio* 21:237-242.

Holling, C.S. and G.K. Meffe. 1996. Command and control and the pathology of natural resource management. *Conservation Biology* 10(2):328-335.

### **Sep 23            The Mexico case**

Alatorre, A., J. Depenthal, and E. Shapiro-Garza. 2021. Synergies and trade-offs among integrated conservation approaches in Mexico. *Conservation Biology* 35(5):1451-1462.

Bray, D.B. and E. Durán. 2022. Mexican community forestry as a global model for biodiversity conservation and climate change adaptation and mitigation. Pages 493-506, *In: Bulkan, J, J. Palmer, A.M. Larson and M. Hobley (eds.), Routledge Handbook of Community Forestry*, Routledge, London.

Antinori, C. and D.B. Bray. 2005. Community forest enterprises as entrepreneurial firms: economic and institutional perspectives from Mexico. *World Development* 33(9):1529-1543.

Bray, D.B. 2010. Capitalism meets common property. *Americas Quarterly* (Winter):30-35.

Merino-Perez, L. 2013. Conservation and forest communities in Mexico: Experiences, visions, and rights (Chapter 3). Pages 25-44, *In Porter-Bolland, L, I. Ruiz-Mallén, C. Camacho-Benavides and S.R. McCandless (eds.). Community Action for Conservation: Mexican Experiences*. Springer, New York.

Ellis, E.A., A. Navarro-Martínez, and M. García-Ortega. 2020. Drivers of Forest cover transitions in the *Selva Maya*, Mexico: Integrating regional and community scales for landscape assessment. *Land Degradation and Development* 32:3122-3141.

Villavicencio Valdez, G. V., E. N. Hansen and J. Bliss. 2012. Factors impacting marketplace success of community forest enterprises: The case of TIP Muebles, Oaxaca, Mexico. *Small-scale Forestry* 11:339-363.

#### **Oct 14 Political ecology**

Mulder, M.B. and P. Coppolillo. 2005. Chapter 7: The bigger picture. Pages 156-180, *In: Conservation: Linking ecology, economics, and culture*. Princeton University Press, Princeton, New Jersey.

Nygren, A. 2005. Community-based forest management within the context of institutional decentralization in Honduras. *World Development* 33(4):639-655.

#### **Recommended:**

Schmink, M. 1994. The socioeconomic matrix of deforestation. Pages 253-275, *In: Arizpe, Lourdes, M. Priscilla Stone, and David C. Major (eds.). Population and environment: Rethinking the debate*. Westview Press, Boulder.

Bryant, R.L and S. Bailey. 1997. *Third World Political Ecology*. Routledge, London.

#### **Oct 21 Timber management**

Edwards, D.P., J.A. Tobias, D. Sheil, E. Meijaard, and W.F. Laurance. 2014. Maintaining ecosystem function and services in logged tropical forests. *Trends in Ecology & Evolution* 29(9):511-520.

Humphries, S., T. Holmes, D.F. Carvalho de Andrade, D. McGrath and J. Batista Dantas. 2020. Searching for win-win forest outcomes: Learning-by-doing, financial viability, and income growth for a community-based forest management cooperative in the Brazilian Amazon. *World Development* 125:104336 <https://doi.org/10.1016/j.worlddev.2018.06.005>



**Recommended**

Humphries, S., K.A. Kainer, D. Rodriguez-Ward, A.L. Violato Espada, T.P. Holmes, P. Blanco Reyes, J. da Silva Santos, and M.M. Ribeiro da Silva. 2022. Pathways to community timber production: A comparative analysis of two well-established community-based forest enterprises in Mexico and Brazil. Pages 65-87, *In*: Bulkan, J, J. Palmer, A.M. Larson and M. Hobley (eds.), Routledge Handbook of Community Forestry, Routledge, London.

Putz, F.E., P.A. Zuidema, T. Synnott, M. Peña-Claros, M.A. Pinard, D. Sheil, J.K. Vanclay, P. Sist, S. Gourlet-Fleury, B. Griscom, J. Palmer and R. Zagt. 2012. Sustaining conservation values in selectively logged tropical forests: the attained and the attainable. *Conservation Letters* 5(4):296-303.

Humphries, S., T.P. Holmes, K. Kainer, C.G. Gonçalves Koury, E. Cruz and R. de Miranda Rocha. 2012. Are community-based forest enterprises in the tropics financially viable? Case studies from the Brazilian Amazon. *Ecological Economics* 77:62-73.

Rockwell, C., K.A. Kainer, N. Marcondes, and C. Baraloto. 2007. Ecological limitations of reduced impact logging at the smallholder scale. *Forest Ecology and Management* 238:365-374. **\*Available in Portuguese**

Zarin, D.J., M.D. Schulze, E. Vidal, & M. Lentini. 2007. Beyond reaping the first harvest: management objectives for timber production in the Brazilian Amazon. *Conservation Biology* 21(4):916-925.

Ezzine de Blas, D., J.A. Sayer, G. Lescuyer, R. Nasi and A. Karsenty. 2009. External influences on and conditions for community logging management in Camaroon. *World Development* 37 (2): 445-456.

Clark, C.J., J.R. Poulsen, R. Malonga & P.W. Elkan, Jr. 2009. Logging concessions can extend the conservation estate for Central African tropical forests. *Conservation Biology* 23(5):1281-1293.

**Oct 28            Wildlife and communities**  
**TBD**

**SUPPORTING COLLABORATIVE MANAGEMENT**

**Nov 4            Partnerships and knowledge exchange**

Menzies, N.K. 2007. Negotiating partnerships, Pages 152-170 (Chapter 9) *In*: Our forest, your ecosystem, their timber, Columbia University Press, New York.

Duchelle, A.E, K. Biedenweg, C. Lucas, A. Virapongse, J. Radachowsky, D. J. Wojcik, M. Londres, W.L. Bartels, D. Alvira and K.A. Kainer. 2009. Graduate students and knowledge exchange with local stakeholders: Possibilities and preparation. *Biotropica* 41:578-585.

**Recommended:**

Reed, M.S., L.C. Stringer, I. Fazey, A.C. Evely and J.H.J. Kruijsen. 2014. Five principles for the practice of knowledge exchange in environmental management. *Journal of Environmental Management* 146:337-345.

Gavin, M. C., J. McCarter, F. Berkes, A.T.P. Mead, E. Sterling, R. Tang and N.J. Turner. 2018. Effective biodiversity conservation requires dynamic, pluralistic, partnership-based approaches. *Sustainability* 10(6): 1846.

Berkes, F. 2007. Community-based conservation in a globalized world. *Proceedings of the National Academy of Sciences* 104(39):15188-15193.

Mishra, C., J.C. Young, M. Fiechter, B. Rutherford and S.M. Redpath. 2017. Building partnerships with communities for biodiversity conservation: lessons from Asian mountains. *Journal of Applied Ecology* 54:1583-1591.

Klooster, D.J. 2002. Toward adaptive community forest management: Integrating local forest knowledge with scientific forestry. *Economic Geography* 78(1):43-70.

Ros-Tonen, M.A.F., T. van Andel, C. Morsello, K. Otsuki, S. Rosendo, and I. Scholz. 2008. Forest-related partnerships in Brazilian Amazonia: There is more to sustainable forest management than reduced impact logging. *Forest Ecology and Management* 256:1482-1497.

Menton, M.C.S., F. D. Merry, A. Lawrence and N. Brown. 2009. Company-community logging contracts in Amazonian settlements: Impacts on livelihoods and NTFP harvests. *Ecology and Society* 14(1):39. [online] URL: <http://www.ecologyandsociety.org/vol14/iss1/art39/>

**Nov 11**            **NO CLASS**            Veteran's Day!

**Nov 18**            **Research on the ground**

Toomey, A.H. 2016. What happens at the gap between knowledge and practice? Spaces of encounter and misencounter between environmental scientists and local people. *Ecology and Society* 21(2):28. [online] URL: <http://dx.doi.org/10.5751/ES-08409-210228>

Ochocka, J., E. Moorlag and R. Janzen. 2010. A framework for community entry: PAR values and engagement strategies in community research. *International Journal of Community Research and Engagement* 3:1-19.

Kainer, K.A., M.L. DiGiano, A.E. Duchelle, L.H.O. Wadt, E. Bruna, and J. Dain. 2009. Partnering for greater success: Local stakeholders and research in tropical biology and conservation. *Biotropica* 41:555-562.

**Recommended**

*Participatory approaches and methods*

Arnold, J. and W. Bartels. 2014. Chapter 12: Participatory methods for measuring and monitoring governance. Pages 238-262, In: Barnes, G. and B. Child (eds.), Adaptive cross-scalar governance of natural resources. Routledge, UK.

Taylor, P.L., P. Cronkleton, and D. Barry. 2013. Learning in the field: Using community self studies to strengthen forest-based social movements. *Sustainable Development* 21:209-223.

Fernandez-Gimenez, M.E., H.L. Ballard and V. E. Sturtevant. 2008. Adaptive management and social learning in collaborative and community-based monitoring: a study of five community-based forestry organizations in the western USA. *Ecology and Society* 13(2):4 [online] URL: <http://www.ecologyandsociety.org/vol13/iss2/art4/>

Firehock, K. 2003. Protocol and guidelines for ethical and effective research of community-based collaborative processes. Community Based Collaborative Research Consortium (CBCRC), University of Virginia, Charlottesville, VA.

Reed, M.S., A. Graves, N. Dandy, H. Posthumus, K. Hubacek, J. Morris, C. Presll, C.H. Quinn, and L.C. Stringer. 2009. Who's in and why? A typology of stakeholder analysis methods for natural resource management. *Journal of Environmental Management* 90:1933-1949.

Yuliani, E.L., H. Adnan, C.J. Pierce Colfer and Y. Indriatmoko. 2015. Problem-solving versus appreciative inquiry approaches in community-based conservation. *Forests, Trees and Livelihoods* 24(2):97-111.

***Case studies of research with communities:***

Arnold, J.S. and M. Fernandez-Gimenez. 2007. Building social capital through participatory research: An analysis of collaboration on Tohono O'odham tribal rangelands in Arizona. *Society and Natural Resources* 20:481-495.

Parrado-Rosselli, A. 2007. A collaborative research process studying fruit availability and seed dispersal within an Indigenous community in the Middle Caqueta River region, Columbian Amazon. *Ecology and Society* 12: 39. [online] URL: <http://www.ecologyandsociety.org/vol12/iss2/art39/>

Setty, R.S., K. Bawa, T. Ticktin, and C. M. Gowda. 2008. Evaluation of a participatory resource monitoring system for nontimber forest products: the case of amla (*Phyllanthus* spp.) fruit harvest by Soligas in South India. *Ecology and Society* 13(2): 19. [online] URL: <http://www.ecologyandsociety.org/vol13/iss2/art19/>

Athayde, S.F. de., G.M. da Silva, J. Kaiabi, H.R. de Souza, K.Ono, and E.M. Bruna. 2006. Participatory research and management of arumã (*Ischnosiphon gracilis* [Rudge] Köern., Marantaceae) by the Kaiabi people in the Brazilian Amazon. *Journal of Ethnobiology* 26(1):36-59.

Nov 25

NO CLASS

Happy Thanksgiving!

**Dec 2 Course wrap-up and evaluation**

1998 Video: Good Wood (44 minutes). Produced by David Springbett and Heather MacAndrew. Directed by David Springbett. View at: <http://vimeo.com/17580366>

Re-read your reflection paper on Conservation and human well-being.

**Recommended:**

Roe, D., D. Thomas, J. Smith, M. Walpole, and J. Elliott. 2011. Biodiversity and poverty: Ten frequently asked questions – ten policy implications. Gatekeeper 150. IIED (International Institute for Environment and Development), London.

2013 Video. Asociación de comunidades forestales de Petén (ACOFOP) (8 minutes). Produced by Alianza Mesoamericana de los pueblos y bosques. View at: <http://www.youtube.com/watch?v=KRkXTxRWChM>

Radachowsky, J, V.H. Ramos, R. McNab, E.H. Baur, and N. Kazadov. 2012. Forest concessions in the Maya Biosphere Reserve, Guatemala: A decade later. *Forest Ecology and Management* 268:18-28.

**Course Resources! (other readings)**

Schwartz, M.A. 2008. The importance of stupidity in scientific research. *Journal of Cell Science* 121:1771.

Schimmel, J. 2012. *Writing Science: How to write papers that get cited and proposals that get funded*. Oxford University Press, Oxford.

Bernard, H.R. 2011. [Research Methods in Anthropology](#): Qualitative and quantitative approaches. AltaMira Press. Plymouth, UK.

British Ecological Society. 2013. A guide to peer review in ecology and evolution. British Ecological Society, London. Available at: <http://www.britishecologicalsociety.org/wp-content/uploads/Publication/Peer-Review-Booklet.pdf>

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### **ASSIGNMENT: Readings comments**

Each week (each module), you will prepare for class by accessing some of the best thinking on the topic at hand. I have carefully selected key readings that are required, and additional readings (noted as "Recommended") that might be helpful to you as you prepare proposals and manuscripts or...are just curious to hear more perspectives. The rationale behind this assignment is to provide us with insights of individual perspectives prior to class, better incorporate what others have to say on the topic at hand, and begin class dialogue.

While you are **required to do the readings for 10 (of 11 possible) class periods**. In other words, while you do the readings for each week, you do not have to comment on them one of the weeks. I do not want a summary or abstract of each of the readings or a formalized, well-thought out rebuttal of the authors' arguments. Rather, I expect you to share with the class some of your thoughts that were stimulated by the readings. What did the readings mean to you? Do you buy the author's arguments? Why or why not? Did the readings stimulate you to reflect on a past experience? How? I have purposefully chosen a more informal group discussion format so that students feel freer to express their basic, gut reactions to the readings. Each student should post his or her comments by Wednesday night so that I can integrate them into the Friday session.

Comments will be posted in the Discussion section of Canvas. Click on the course Community Forest Management. Go to "Discussion" listed in the left hand column, and then click on the topic for the week. For example, by Wednesday, Aug 31, you are required to post your comments in the following forum "Sep 2: Conservation, development, and the role of CFM". Others in the class will then be able to read your comments and add theirs. The length of comments is not fixed, but should range from two to four paragraphs. The sum of these comments is worth 20% of your grade.

### **ASSIGNMENTS: Research paper & Peer reviews**

Each student will write a research proposal or analytical paper related to at least some of the themes of the course, to be developed over the semester. The intent is to offer an opportunity to develop a paper that can be helpful to their careers or is a necessary part of their graduate program. If you are in the proposal-writing phase, then this paper may be your research proposal or some portion of it.

**WARNING:** Do not simply present a proposal you have no intention of carrying out. In my experience, this leads to a poorly researched, shallow product.

If you have already carried out your graduate fieldwork, then you may consider preparing an article or chapter for your thesis/dissertation. Alternatively, you could select a hypothesis(es), premise(s) or question(s) related to CFM and analyze pertinent supporting *and* refuting evidence/data. Or you could analyze the state of CFM in your home country or expected country of research. In all cases, you are

expected to use course concepts and literature. You should focus on peer-reviewed literature, but certainly some gray literature may also be important to include. Students are encouraged to discuss their ideas with me to get approval for their plan. USE SPELL CHECK AND GRAMMAR CHECK FOR ALL VERSIONS!

The research paper will be developed in steps. A *preliminary title* and 3 to 4 bullets that describe the paper content will be turned in via Canvas on **September 16**. This preliminary title is NOT graded. The purpose is twofold: (1) to encourage students to begin more focused thinking on the content of their paper, and (2) to provide information to me for forming research paper peer groups. Use Word for this and all submissions.

### **Preliminary statement & bibliography**

By **September 30**, all students will submit a *preliminary statement (1- to 2- single-spaced pages) and an accompanying bibliography*. This statement should convey main ideas you intend to pursue in your proposal/paper, including data you intend to collect and/or analyses you foresee carrying out. The bibliography should demonstrate that you have identified sufficient material to write on this topic (even though you may not have read all sources yet). **Please highlight in yellow** the bibliographic sources that come from the CFM syllabus. *At the beginning of your statement, please record the following:* Title of document, advisor and department, if pursuing an M.S. or Ph.D., if paper is a proposal or analytical paper, and 8-10 keywords. This statement/bibliography is worth 10% of your final grade, and will be submitted via Canvas in Word. I will provide feedback to each student.

### **Peer review I**

In addition, each student will be grouped with 2 to 3 other students for peer reviews. Your review peers will receive an electronic copy of your preliminary statement (please upload to [Preliminary statement & bibliography](#)) and you will receive theirs. Due October 7 (Homecoming!), you will provide a written peer review (*Peer review I*) of each (of 2 to 3) preliminary statements. In other words, you will be reviewing the preliminary statements of 2 to 3 students, and they in turn, will be reviewing yours.

**My expectations:** Reviews will include:

- 1) Changes directly on the preliminary statement (using the Track Changes feature, for example) and
- 2) Helpful suggestions/comments, likely through a separate series of paragraphs or using the Comments feature under “Review”. These comments should include reiterating what you understand as the main aim of the paper, gaps in logic and flow, and perhaps additional bibliographic suggestions.
- 3) If at all possible (and particularly given that we do not have class that week because of Homecoming), please try to get together with your peer review group over a coffee or even Zoom, to simply have a conversation about the papers. This can be so helpful! I usually allot time in class to do this, but we are so short on class time this year.

**You will need to do the 3 following tasks to successfully complete this assignment:**

**(1) Before you begin your reviews**, please read through the following attached guidelines for some review suggestions, especially Best Practices (p 14-20) and Ethics in Peer Review (p 23-25):

British Ecological Society. 2013. A guide to peer review in ecology and evolution. British Ecological Society, London. Available at: <http://www.britishecologicalsociety.org/wp-content/uploads/Peer-Review-Booklet.pdf> ([Links to an external site.](#))

(2) Go to Peer Reviews in the original assignment and upload a copy of the corresponding peer review to each student in your peer review cohort as a file attachment in comments. Only upload to each student in your cohort your review of their particular paper. **Note:** The link to your peer reviews will not be available until your own assignment has been submitted.

(3) Upload copies (please join them into one file) of your reviews into this assignment **Peer Review I** in Canvas where I can also view them and give feedback.

These reviews are worth 10% of your total grade. If you have any difficulty, post to [Technical Support](#).

### **Draft**

Students will prepare a complete draft of the proposal or paper; these *drafts are required, but not graded*. **Please highlight in yellow** the bibliographic sources that come from the CFM syllabus. Please upload one copy via Canvas by **November 11** (Veteran's Day holiday!), and I will provide written feedback. Similarly, please submit one copy for feedback to one of your original peer group members, as assigned by Dr. Kainer. *This is your final opportunity to get critical feedback to improve your paper!*

### **Peer review II**

Please conduct *Peer review II* for one peer in your group (Please see the end of these instructions to learn whose paper you review and vice versa). Upload a copy of your review here via Canvas for my review. This second peer review is worth 10% of your total grade, and is due November 18.

I expect that reviews will include changes directly on the draft (using Track Changes, for example), coupled with helpful suggestions/comments, likely through a separate series of paragraphs or using the Comments feature of Track Changes. These might include reiterating what you understand as the main aim of the paper, gaps in logic and flow, additional bibliographic suggestions, etc...

It might be helpful to revisit the following attached guidelines before you begin - just as a reminder. British Ecological Society. 2013. A guide to peer review in ecology and evolution. British Ecological Society, London. Available at: <http://www.britishecologicalsociety.org/wp-content/uploads/Peer-Review-Booklet.pdf> ([Links to an external site.](#))

### **Reviews are assigned as follows:**

Go to the Peer Reviews link in the original [Draft research paper](#) assignment to view your assigned student's paper. Upload a copy of the peer review as a file attachment in comments. **Note:** The link to your peer review will not be available until your own assignment has been submitted.

Also upload a copy of your review into this assignment **Peer Review II** in Canvas where I can view them and give feedback.

If you encounter any problems, post to [Technical Support](#) for assistance.

**ASSIGNMENTS: Knowledge exchange document & feedback**



This assignment is to get you started in thinking about a knowledge exchange strategy for your graduate program/research. (Besides your academic committee....) How will you communicate with those who might be interested in your research plan and/or findings? Please prepare a 2- to 4-page document that addresses the 5 principles laid out in [Reed et al. 2014](#). This is a *beginning* of a strategy, so the key for this assignment is to get started. You may use complete sentences and paragraphs or bullet points or other ways to document your strategy, but please address all 5 principles. This assignment is due on Friday, December 2 and is worth 5% of your grade.

In addition, either on November 18 or on December 2, each of you will have the opportunity (just a couple of minutes or so) to show/present an aspect of your strategy to the class – to get some informal feedback. No grade. No grading. Just informal feedback.

### Final paper

Finally, students will turn an electronic copy of their *final paper* by **December 5** (Monday following the last day of class). The length of the paper should be between 8-10 single-spaced pages, excluding tables, figures and bibliography. The final version is worth 25% of your grade, and will be evaluated using the following criteria:

Criteria	A successful final paper will:	Score
Research question/problem	Clearly identify and discuss a significant research question or questions	5
Conceptual & theoretical clarity	Present and apply a clear conceptual framework – integrate relevant literature	5
Methods & analysis	Articulate a coherent proposal for research design and methods to address the problem OR methods & analysis already conducted and articulated	5
Writing and organization	Use correct punctuation and grammar, and structure paper in a logical flow of ideas and sections	5
Relevance	Connect the analysis and conclusions to some issues relevant to community-based resource management as discussed in the course. Integrated some course literature into document (highlighted in yellow).	5

**I will not provide feedback on this final version, except for a numeric grade.**

### UF Helping Resources

- **[UF Writing Studio](#)** The Writing Studio is a free service for current UF students. Students have the opportunity to work one-on-one with a consultant (up to 30 minutes, twice a week) on issues specific to their own particular writing needs and development. They assist students to become better proofreaders and editors of their own work.
- **[Counseling and Wellness Center](#)** Students experiencing crises or personal problems that interfere with general wellbeing are encouraged to utilize the university's counseling resources. Confidential counseling services are available at no cost for enrolled students. Resources are also available for students seeking to clarify career and academic goals and to deal with academic challenges.

✓ Counseling and Wellness resources <http://www.counseling.ufl.edu/cwc/>



- ✓ U Matter, We Care <http://www.umatter.ufl.edu/>
- ✓ Career Connections Center <http://career.ufl.edu/>
- [The Disability Resource Center](#) coordinates the needed accommodations of students with disabilities. This includes registering disabilities, recommending academic accommodations within the classroom, accessing special adaptive computer equipment, providing interpretation services and mediating faculty-student disability related issues. Students requesting classroom accommodation must first register with the Dean of Students Office. The Dean of Students Office will provide documentation to the student who must then provide this documentation to the Instructor when requesting accommodation. 0001 Reid Hall, 352-392-8565.

## UF Policies

- **Software Use:** All faculty, staff, and students of the University are required and expected to obey the laws and legal agreements governing software use. Failure to do so can lead to monetary damages and/or criminal penalties for the individual violator.
- **Academic honesty:** As a student at the University of Florida, you have committed yourself to uphold the Honor Code, which includes the following pledge: “We, the members of the University of Florida community, pledge to hold ourselves and our peers to the highest standards of honesty and integrity.” You are expected to exhibit behavior consistent with this commitment to the UF academic community, and on all work submitted for credit at the University of Florida, the following pledge is either required or implied: "On my honor, I have neither given nor received unauthorized aid in doing this assignment." It is assumed that you will complete all work independently in each course unless the instructor provides explicit permission for you to collaborate on course tasks (e.g. assignments, papers, quizzes, exams). Furthermore, as part of your obligation to uphold the Honor Code, you should report any condition that facilitates academic misconduct or appropriate personnel. It is your individual responsibility to know and comply with all university policies and procedures regarding academic integrity and the Student Honor Code. Violations of the Honor Code at the University of Florida will not be tolerated. Violations will be reported to the Dean of Students Office for consideration of disciplinary action. For more information regarding the Student Honor Code, please see: <http://www.dso.ufl.edu/sccr/process/student-conduct-honor-code>.
- **Student complaint processes:** The School of Forest, Fisheries, & Geomatics Sciences cares about your experience and we will make every effort to address course concerns. We request that our online students complete a course satisfaction survey each semester, which is a time for you to voice your thoughts on how your course is being delivered. You can also submit feedback anytime. If you have a more urgent concern, your first point of contact should be the Academic Coordinator or the Graduate/Undergraduate Coordinator for the program offering the course. You may also submit a complaint directly to UF administration:
  - <https://distance.ufl.edu/getting-help/>
  - <https://registrar.ufl.edu/complaint.html>
- **Student assessment of instruction** is an important part of efforts to improve teaching and learning. At approximately the mid-point of the semester, the School of Forest, Fisheries, & Geomatics Sciences will request anonymous feedback on student satisfaction on various aspects of this course. These surveys will be sent out through Canvas and are not required but encouraged. This is not the UF Faculty Evaluation! At the end of the semester, students are expected to provide professional and respectful feedback on the quality of instruction in this course by completing course evaluations online via GatorEvals.

- Guidance on how to give feedback in a professional and respectful manner is available at <https://gatorevals.aa.ufl.edu/students/>
- Students will be notified when the evaluation period opens, and can complete evaluations through the email they receive from GatorEvals, in their Canvas course menu under GatorEvals, or via <https://ufl.bluera.com/ufl/>
- Summaries of course evaluation results are available to students at <https://gatorevals.aa.ufl.edu/public-results/>